# STORAGE AND OTHER I/O TOPICS

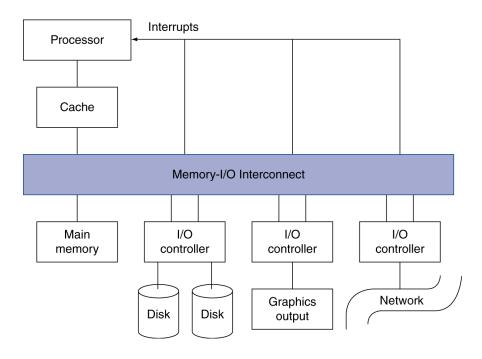
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# Introduction

## I/O devices can be characterized by

- Behavior: input, output, storage
- Partner: human or machine
- Data rate: bytes/sec, transfers/sec

#### I/O bus connections



# I/O System Characteristics

#### Dependability is important

Particularly for storage devices

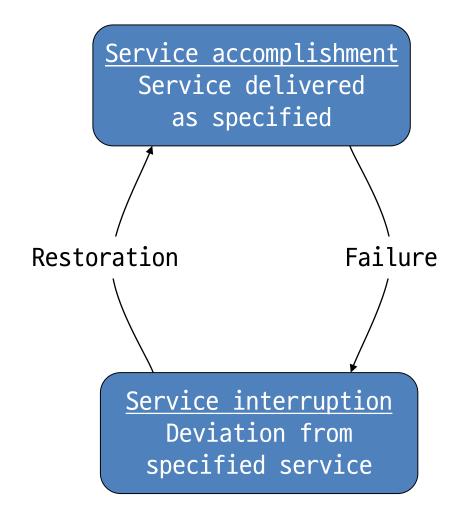
#### Performance measures

- Latency (response time)
- Throughput (bandwidth)
- Desktops & embedded systems
  - Mainly interested in response time & diversity of devices
- Servers
  - Mainly interested in throughput & expandability of devices

# Dependability

Fault: failure of a component

May or may not lead to system failure



# Dependability Measures

- Diagnosis

```
Reliability: mean time to failure (MTTF)
Service interruption: mean time to repair (MTTR)
Mean time between failures

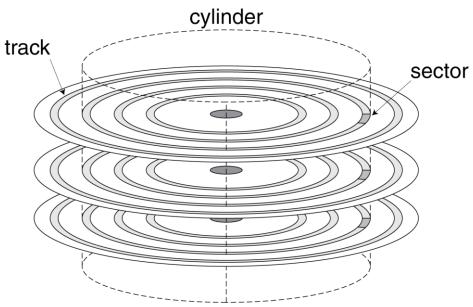
    MTBF = MTTF + MTTR

Availability = MTTF / (MTTF + MTTR)
Improving Availability
      Increase MTTF:
        - Fault avoidance
        - Fault tolerance
        - Fault forecasting
      Reduce MTTR
        - Improved tools
        - Processes
```

# Disk Storage

Nonvolatile, rotating magnetic storage





# Disk Sectors and Access

#### Each sector records

- Sector ID
- Data (512 bytes, 4096 bytes proposed)
- Error correcting code (ECC)
  - Used to hide defects and recording errors
- Synchronization fields and gaps

#### Access to a sector involves

Queuing delay if other accesses are pending

- Seek: move the heads
- Rotational latency
- Data transfer
- Controller overhead



# Disk Access Example

#### Given

• 512B sector, 15,000rpm, 4ms average seek time, 100MB/s transfer rate, 0.2ms controller overhead, idle disk

#### Average read time

- 4ms seek time
  - $+ \frac{1}{2} / (15,000/60) = 2ms rotational latency$
  - + 512 / 100MB/s = 0.005ms transfer time
  - + 0.2ms controller delay
  - = 6.2 ms

#### If actual average seek time is 1ms

• Average read time = 3.2ms

# Disk Performance Issues

#### Manufacturers quote average seek time

- Based on all possible seeks
- Locality and OS scheduling lead to smaller actual average seek times

### Smart disk controller allocate physical sectors on disk

- Present logical sector interface to host
- SCSI, ATA, SATA

#### Disk drives include caches

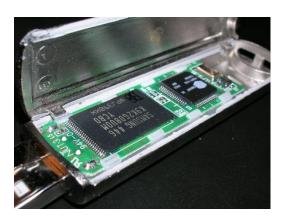
- Prefetch sectors in anticipation of access
- Avoid seek and rotational delay

# Flash Storage

## Nonvolatile semiconductor storage

- 100× 1000× faster than disk
- Smaller, lower power, more robust
- But more \$/GB (between disk and DRAM)





# Flash Types

#### NOR flash: bit cell like a NOR gate

- Random read/write access
- Used for instruction memory in embedded systems

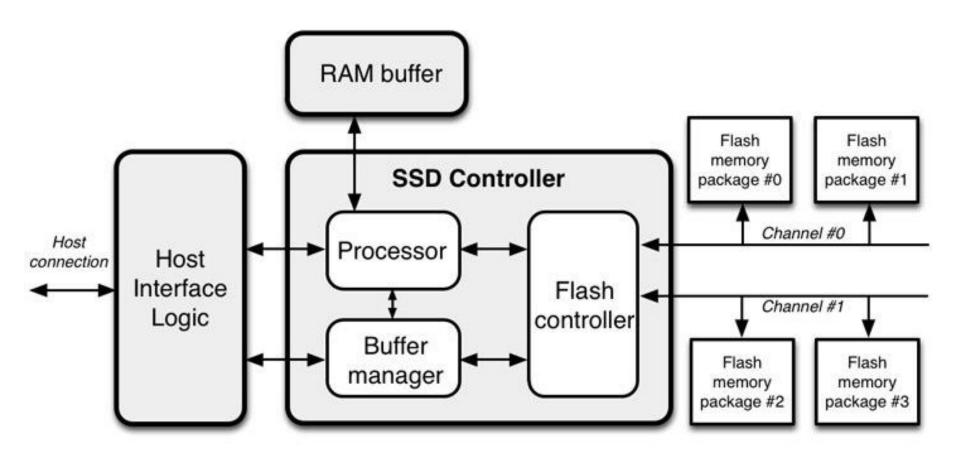
### NAND flash: bit cell like a NAND gate

- Denser (bits/area), but block-at-a-time access
- Cheaper per GB
- Used for USB keys, media storage, …

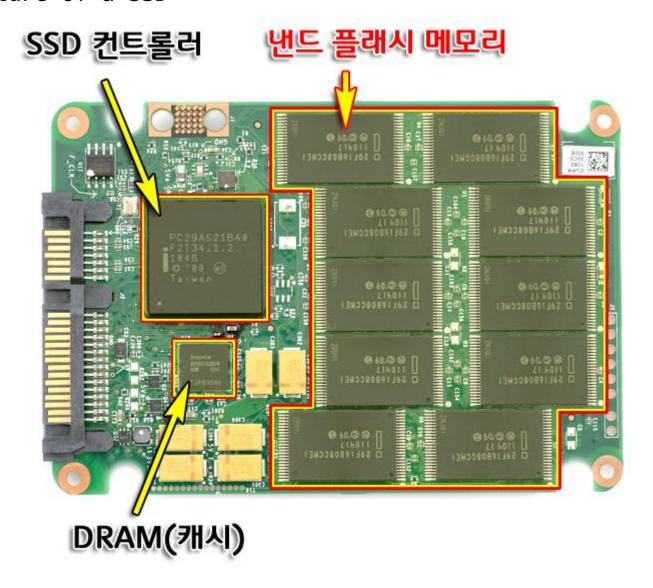
#### Flash bits wears out after 10000's of erases

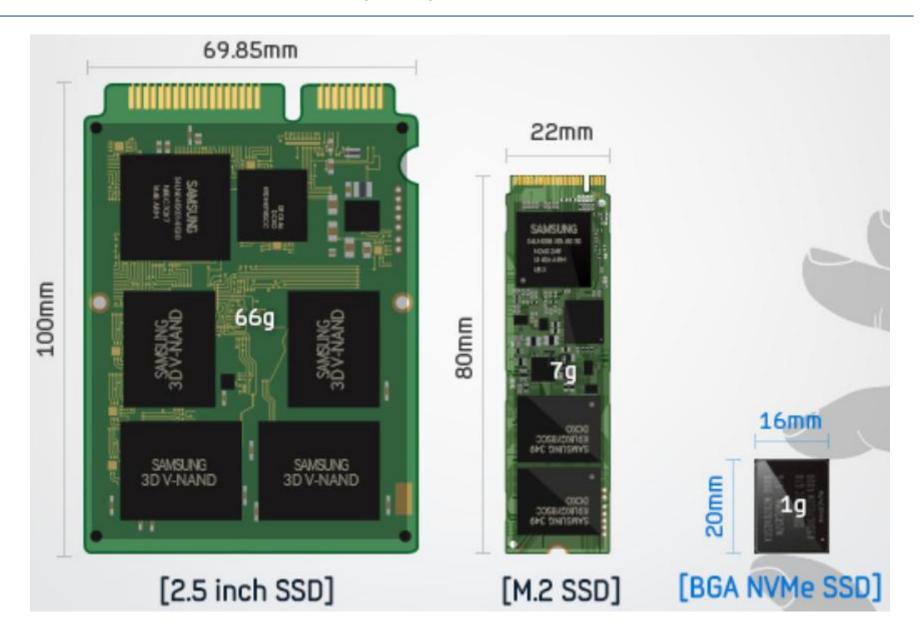
- Not suitable for direct RAM or disk replacement
- Wear leveling: remap data to less used blocks

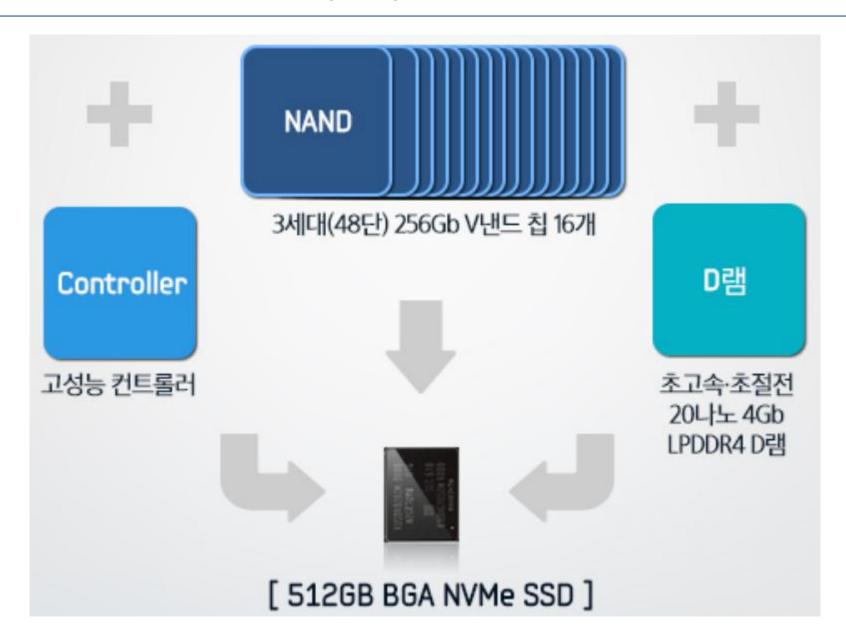
#### Architecture of a SSD



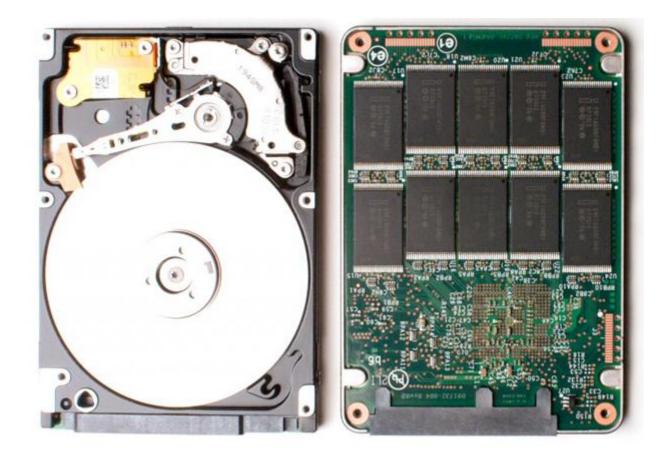
Architecture of a SSD



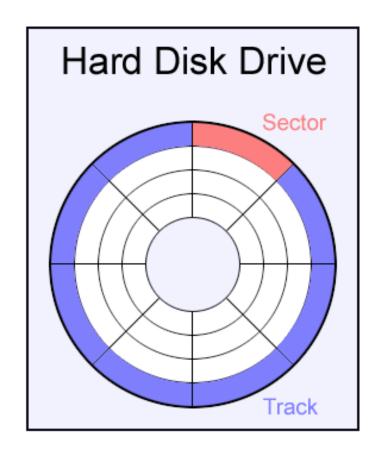


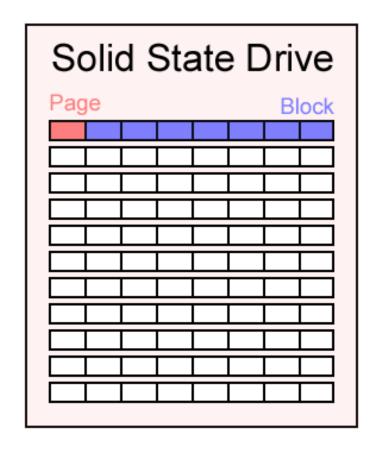


HDD vs. SSD



HDD vs. SSD





# Interconnecting Components

Need interconnections between

• CPU, memory, I/O controllers

Bus: shared communication channel

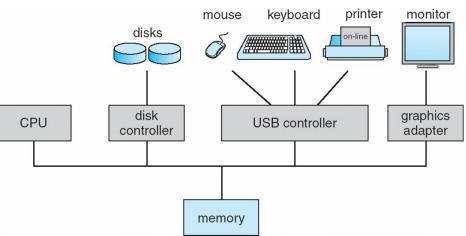
- Parallel set of wires for data and synchronization of data transfer
- Can become a bottleneck

Performance limited by physical factors

Wire length, number of connections

More recent alternative: high-speed serial connections with switches

Like networks



# Bus Types

#### Processor-Memory buses

- Short, high speed
- Design is matched to memory organization

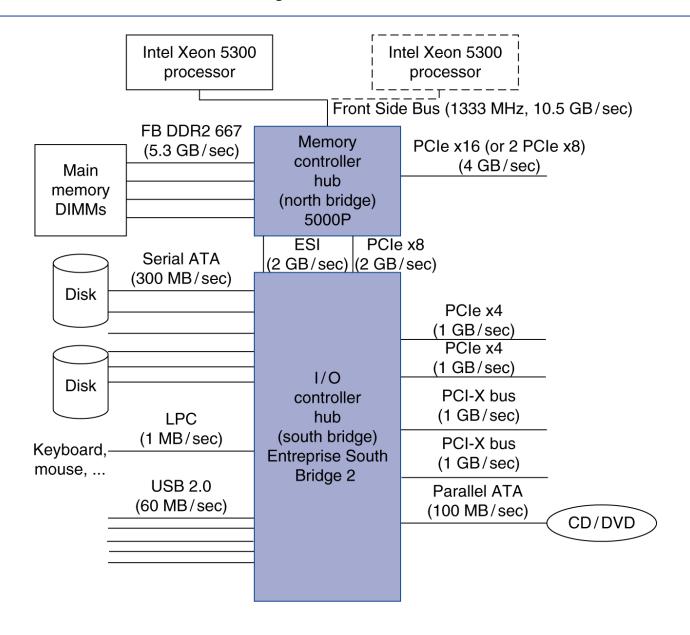
#### I/O buses

- Longer, allowing multiple connections
- Specified by standards for interoperability
- Connect to processor-memory bus through a bridge

# I/O Bus Examples

	Firewire	USB 2.0	PCI Express	Serial ATA	Serial Attached SCSI
Intended use	External	External	Internal	Internal	External
Devices per channel	63	127	1	1	4
Data width	4	2	2/lane	4	4
Peak bandwidth	50MB/s or 100MB/s	0.2MB/s, 1.5MB/s, or 60MB/s	250MB/s/lane 1×, 2×, 4×, 8×, 16×, 32×	300MB/s	300MB/s
Hot pluggable	Yes	Yes	Depends	Yes	Yes
Max length	4.5m	5m	0.5m	1m	8m
Standard	IEEE 1394	USB Implementers Forum	PCI-SIG	SATA-IO	INCITS TC T10

# Typical x86 PC I/O System



# I/O Management

## I/O is mediated by the OS

- Multiple programs share I/O resources
  - Need protection and scheduling
- I/O causes asynchronous interrupts
  - Same mechanism as exceptions
- I/O programming is fiddly
  - OS provides abstractions to programs

# I/O Register Mapping

### Isolated I/O ( = Dedicated I/O instructions or Direct I/O )

- Separate instructions to access I/O registers
- I/O instruction: specifying both the device number and the command word
- Protection: can only be executed in kernel mode
- Intel IA-32, IBM 370

#### Memory mapped I/O

- Registers are addressed in same space as memory
- OS uses address translation mechanism to make them only accessible to kernel

# Example: A Simple Printer

### Status register

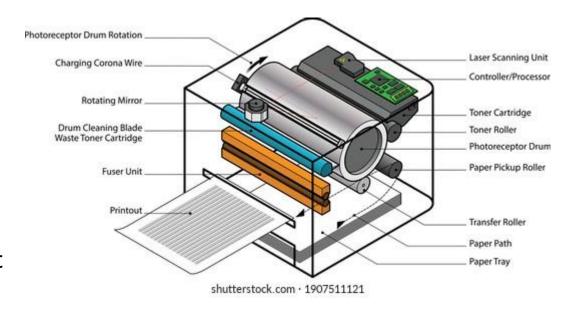
- Done bit
  - Set by the printer when it has printed a character
- Error bit
  - Paper jam or out of paper

### Data register

Data to be printed

### Operations of processor

- Must wait until the done bit set by the printer
- Must check the error bit



# Communicating with the Processor

How does the kernel notice an I/O has finished?

• Polling vs. Hardware interrupt

### Polling: periodically check I/O status register

- If device ready, do operation
- If error, take action

Common in small or low-performance real-time embedded systems

- Predictable timing
- Low hardware cost

In other systems, wastes CPU time

# Communicating with the Processor

#### Interrupt-Driven I/O

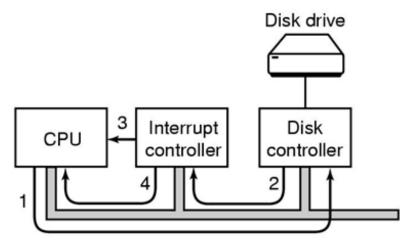
When a device is ready or error occurs controller interrupts CPU

#### Interrupt is like an exception

- But not synchronized to instruction execution
- Can invoke handler between instructions

### Priority interrupts

- Devices needing more urgent attention get higher priority
- Higher priority interrupt can interrupt handler for a lower priority interrupt



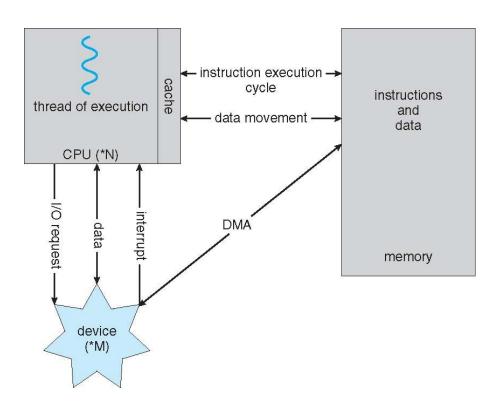
# I/O Data Transfer

#### Programmed I/O

- CPU transfers data between memory and I/O data registers
- Time consuming for high-speed devices

### Direct memory access (DMA)

- OS provides starting address in memory
- I/O controller transfers to/from memory autonomously
- Controller interrupts on completion or error



# DMA/Cache Interaction

If DMA writes to a memory block that is cached

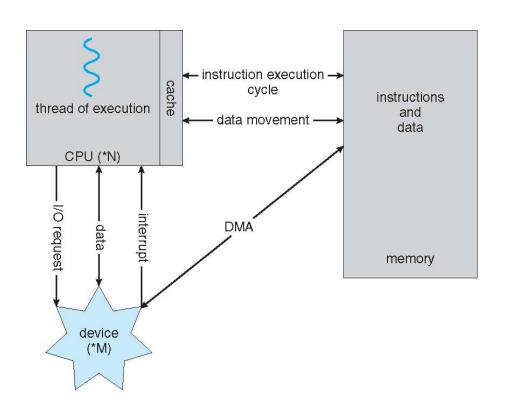
Cached copy becomes stale

If write-back cache has dirty block, and DMA reads memory block

Reads stale data

#### Need to ensure cache coherence

- Flush blocks from cache if they will be used for DMA
- Or use non-cacheable memory locations for I/O



# DMA/VM Interaction

OS uses virtual addresses for memory

DMA blocks may not be contiguous in physical memory

Should DMA use virtual addresses?

Would require controller to do translation

If DMA uses physical addresses

- May need to break transfers into page-sized chunks
- Or chain multiple transfers
- Or allocate contiguous physical pages for DMA (common case)

# I/O vs. CPU Performance

#### Amdahl's Law

- "The overall performance improvement gained by optimizing a single part of a system is limited by the fraction of time that the improved part is actually used"
- = Bottleneck part is critical

Parallelism increases compute performance

• -> Don't neglect I/O performance

# I/O vs. CPU Performance

### Example

- Benchmark takes 90s CPU time, 10s I/O time
- Double the number of CPUs/2 years
- I/O speed unchanged

Year	CPU time	I/O time	Elapsed time	% I/O time
now	90s	10s	100s	10%
+2	45s	10s	55s	18%
+4	23s	10s	33s	31%
+6	11s	10s	21s	47%

## RAID

### Redundant Array of Inexpensive (Independent) Disks

- Use multiple smaller disks (c.f. one large disk)
- Parallelism improves performance
- Plus extra disk(s) for redundant data storage

#### Provides fault tolerant storage system

- Especially if failed disks can be "hot swapped"
- RAID 0, 1, 2, 3, 4, 5, 6 level

#### RAID 0

- No redundancy ("AID"?)
  - ACID (Atomicity, Consistency, Isolation, Durability)
  - Just stripe data over multiple disks
- But it does improve performance

RAID 0 (No redundancy) Widely used



## RAID 1 & 2

### RAID 1: Mirroring

- N + N disks, replicate data
  - Write data to both data disk and mirror disk
  - On disk failure, read from mirror

RAID 1 (Mirroring) EMC, HP(Tandem), IBM



### RAID 2: Error correcting code (ECC)

- N + E disks (e.g., 10 + 4)
- Split data at bit level across N disks
- Generate E-bit ECC
- Too complex, not used in practice

RAID 2 (Error detection and correction code) Unused



# RAID 3: Bit-Interleaved Parity

#### N + 1 disks

- Data striped across N disks at byte level
- Redundant disk stores parity
- Read access
  - Read all disks
- Write access
  - Generate new parity and update all disks
- On failure
  - Use parity to reconstruct missing data

### Not widely used

RAID 3 (Bit-interleaved parity) Storage concepts



# RAID 4: Block-Interleaved Parity

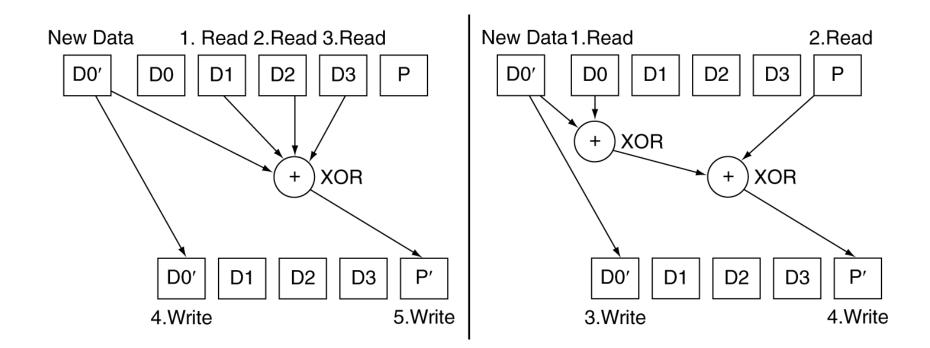
#### N + 1 disks

- Data striped across N disks at block level
- Redundant disk stores parity for a group of blocks
- Read access
  - Read only the disk holding the required block
  - vs. Read all disk in RAID 3
- Write access
  - Just read disk containing modified block, and parity disk
  - Calculate new parity, update data disk and parity disk
- On failure
  - Use parity to reconstruct missing data

Not widely used

RAID 4 (Block-interleaving parity) Network appliance

# RAID 3 vs RAID 4

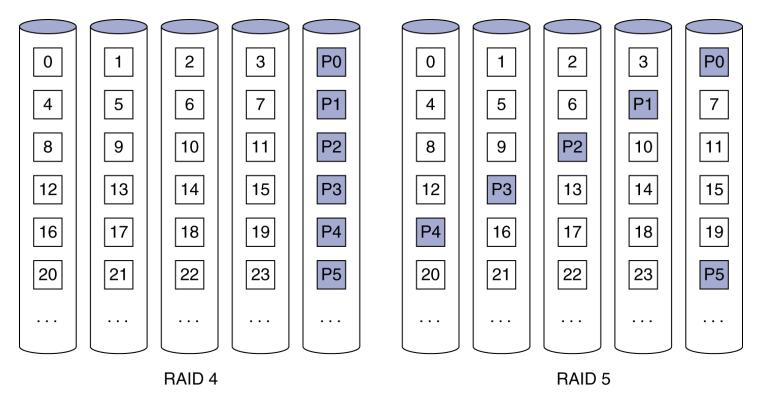


# RAID 5: Distributed Parity

#### N + 1 disks

- Like RAID 4, but parity blocks distributed across disks
  - Avoids parity disk being a bottleneck

### Widely used



## RAID 6: P + Q Redundancy

#### N + 2 disks

- Like RAID 5, but two lots of parity
- Greater fault tolerance through more redundancy

### Multiple RAID

More advanced systems give similar fault tolerance with better performance

RAID 5
(Distributed block-interleaved parity)
Widely used

RAID 6
(P + Q redundancy)
Recently popular

## RAID Summary

RAID can improve performance and availability

High availability requires hot swapping

Assumes independent disk failures

Too bad if the building burns down!

# I/O System Design

Satisfying latency requirements

• For time-critical operations

Maximizing throughput

- Find "weakest link" (lowest-bandwidth component)
- Configure to operate at its maximum bandwidth

If system is under heavy load

- Simple analysis is insufficient
- Need to use queuing models or simulation

# Server Computers

Applications are increasingly run on servers

• Web search, office apps, virtual worlds, ...

Requirements for large data center servers

- Multiple processors, networks connections, massive storage
- Space and power constraints

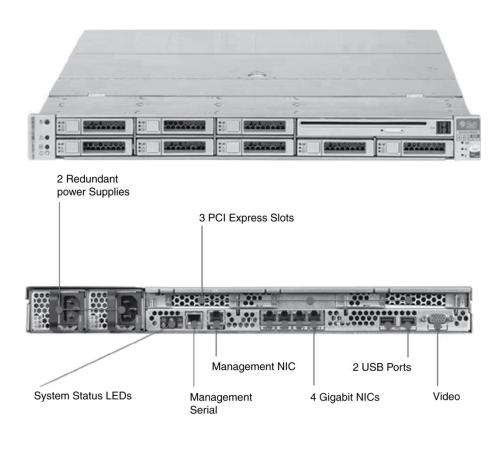
Server equipment built for 19inch racks

• Multiples of 1.75inch (1U) high

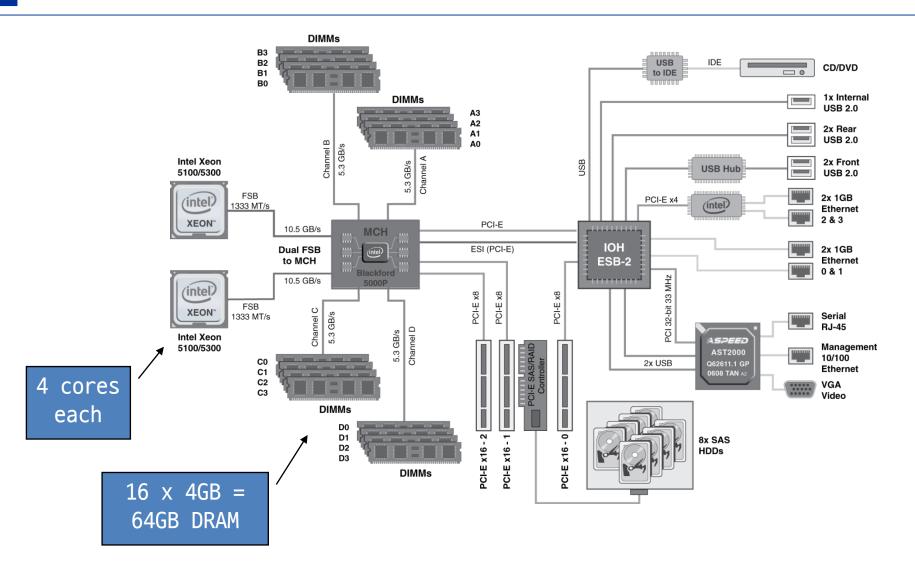
## Rack-Mounted Servers



#### Sun Fire x4150 1U server



## Sun Fire x4150 1U server



# I/O System Design Example

### Given a Sun Fire x4150 system with

- Workload: 64KB disk reads
  - Each I/O op requires 200,000 user-code instructions and 100,000 OS instructions
- Each CPU: 10<sup>9</sup> instructions/sec
- FSB(front-side bus): 10.6 GB/sec peak
- DRAM DDR2 667MHz: 5.336 GB/sec
- PCI-E  $8 \times bus$ :  $8 \times 250 MB/sec = 2 GB/sec$
- Disks: 15,000 rpm, 2.9ms avg. seek time, 112MB/sec transfer rate

#### What I/O rate can be sustained?

For random reads, and for sequential reads

# Design Example (cont)

### I/O rate for CPUs

- Per core:  $10^9/(100,000 + 200,000) = 3,333$
- 8 cores: 26,667 ops/sec

### Random reads, I/O rate for disks

- Assume actual seek time is 2.9ms/4 and latency is 4ms/2
- Time/op = seek + latency + transfer = 2.9ms/4 + 4ms/2 + 64KB/(112MB/s) = 3.3ms
- 303 ops/sec per disk, 2424 ops/sec for 8 disks

### Sequential reads

- 112MB/s / 64KB = 1750 ops/sec per disk
- 14,000 ops/sec for 8 disks

# Design Example (cont)

#### PCI-E I/O rate

• 2GB/sec / 64KB = 31,250 ops/sec

#### DRAM I/O rate

• 5.336 GB/sec / 64KB = 83,375 ops/sec

#### FSB I/O rate

- Assume we can sustain half the peak rate
- 5.3 GB/sec / 64KB = 81,540 ops/sec per FSB
- 163,080 ops/sec for 2 FSBs

#### Weakest link: disks

- 2424 ops/sec random, 14,000 ops/sec sequential
- Other components have ample headroom to accommodate these rates

# Fallacy: Disk Dependability

If a disk manufacturer quotes MTTF as 1,200,000hr (140yr)

• A disk will work that long

Wrong: this is the mean time to failure

- What is the distribution of failures?
- What if you have 1000 disks

How many will fail per year?

• 1year = 8760hrs

Annual Failure Rate (AFR) = 
$$\frac{1000 \text{ disks} \times 8760 \text{ hrs/disk}}{1200000 \text{ hrs/failure}} = 0.73\%$$

## **Fallacies**

### Disk failure rates are as specified

- Studies of failure rates in the field say NO
- Schroeder and Gibson
  - 0.6% to 0.8%
  - 2% to 4% (real world)
- Pinheiro, et al.
  - 1.5%
  - 1.7% (first year) to 8.6% (third year)

# Pitfall: Backing Up to Tape

Magnetic tape used to have advantages

• Removable, high capacity

Advantages eroded by disk technology developments

Makes better sense to replicate data

• E.g., RAID, remote mirroring

## Fallacy: Disk Scheduling

#### Best to let the OS schedule disk accesses

- But modern drives deal with logical block addresses
  - Map to physical track, cylinder, sector locations
  - Also, blocks are cached by the drive
- OS is unaware of physical locations
  - Reordering can reduce performance
  - Depending on placement and caching

### Pitfall: Peak Performance

### Peak I/O rates are nearly impossible to achieve

- Usually, some other system component limits performance
- E.g., transfers to memory over a bus
- E.g., PCI bus: peak bandwidth ~133 MB/sec
  - In practice, max 80MB/sec sustainable

# Concluding Remarks

### I/O performance measures

- Throughput, response time
- Dependability and cost also important

Buses used to connect CPU, memory, I/O controllers

• Polling, interrupts, DMA

#### **RAID**

Improves performance and dependability